

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Toyoshima et al.

For: **A METHOD AND SYSTEM FOR DYNAMIC
BUSINESS MANAGEMENT OF A NETWORK**

Serial No.: Not Assigned

Filed: February 27, 2002

Docket No.: Lucida, Inc. - 01

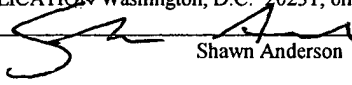
) Examiner: Not Assigned
) Group Art Unit: Not Assigned
) Customer No.: 23422

APPLICATION TRANSMITTAL

JC997 U.S. PTO
10/087184
02/27/02

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.10

I hereby certify that this paper is being deposited in the U.S. Postal Service as Express
Mail Label No. EF401356925US, with sufficient postage addressed to BOX PATENT
APPLICATION Washington, D.C. 20231, on February 27, 2002, in Palo Alto, CA.
By:  Shawn Anderson

Dear Sir:

- Transmitted herewith for filing is the above-identified Patent Application, including:
 - X Papers required for a filing date under 37 CFR § 1.53(b);
 - 24 Pages in the specification including:
 - 18 pages of Description; 5 pages of Claims; 1 page of Abstract;
 - 10 Sheets of drawings X informal formal;
 - X Declaration and Power of Attorney (Un-signed);
 - Assignment and Recordation Cover Sheet (PTO-1595);
 - X Information Disclosure Statement; PTO-1449 Form; and copies of 6 cited references;
 - X Return Receipt Postcard;
- Publication: APPLICANT HEREBY REQUESTS THAT THE APPLICATION IS NOT PUBLISHED UNDER 35 USC 122(b), and certifies that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at 18 months after filing.
- Filing Fee Calculation

| Description | Fee Code | Claims | Extra | Rate | Fee |
|--------------------|----------|----------|-------|-------|--------|
| Basic Fee | 101 | | | | \$ 370 |
| Independent Claims | 102 | 7 - 3= | 4 x | \$42= | \$ 168 |
| Total Claims | 103 | 35 - 20= | 15 x | \$9= | \$ 135 |

Filing Fee \$ 673

- Additional Fees. Recordation of Assignment Fee Code 581.

\$40

Total Fees Due \$713

- Payment of Fees.

 X Payment of the filing fee is hereby deferred.
 The Commissioner is hereby authorized to charge any additional fees and to credit any overpayment which may be required with this communication under 37 CFR §§1.16 and 1.17, to Deposit Account No. 13-0201, referencing Docket No. Lucida, Inc. - 01. A duplicate copy of this document is enclosed.

- Assignee: Lucida, Inc.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.:

SERIAL NO.:

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

SHEET 1 OF 1

Lucida, Inc. - 01

Not Assigned

APPLICANTS:

Toyoshima et al.

FILING DATE:

February 27, 2002

GROUP ART UNIT:

Not Assigned

10/087184

**U.S. PATENT DOCUMENTS**

| † EX'R INITIAL | *REF. # | PATENT NUMBER | DATE (MO/YR) | NAME | U.S. CLASS/ SUBCLASS | FILING DATE (If appropriate) |
|-------------------|---------|---------------|--------------|----------------|-------------------------|---------------------------------|
| | A1 | 5748907 | 05/05/1998 | Crane | 395 | 10/30/1996 |
| | A2 | 6079020 | 06/20/00 | Liu | 713 | 01/27/1998 |
| | A3 | 6148337 | 11/14/00 | Estberg et al. | 709 | 04/01/1998 |
| | A4 | 6222827B1 | 04/24/01 | Grant et al. | 370 | 12/20/1996 |
| | A5 | 6335927B1 | 01/01/02 | Elliott et al. | 370 | 11/18/1996 |

FOREIGN PATENT DOCUMENTS

| † EX'R INITIAL | *REF. # | PATENT NUMBER | DATE (MO/YR) | COUNTRY | TRANSLATION (YES/NO) |
|-------------------|---------|---------------|--------------|---------|-------------------------|
| | | | | | |
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OTHER DOCUMENTS

| † EX'R INITIAL | *REF. # | CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.) |
|-------------------|---------|---|
| | C1 | T. Braun et al. "Management of Quality of Service Enabled VPNs", IEEE Communications Magazine, May 2001 |
| | | |
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EXAMINER'S SIGNATURE

DATE CONSIDERED

† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.*

* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).